

Docket No.: 064A

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I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 or facsimile (571) 273-8300 transmitted to the U.S. Patent and Trademark Office on the date shown below.

James K. K.  
Signature

3/2-3/07  
Date

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applic. No.	: 10/010,244	Confirmation No: 5798
Applicant	: Robert Sixto, Jr. et al.	
Filed	: December 6, 2001	
Art Unit	: 3731	
Title	: METHODS FOR ENDOLUMINAL TREATMENT	
Docket No.	: 064A	
Customer No.	: 27316	

## INFORMATION DISCLOSURE STATEMENT

Hon. Commissioner for Patents  
Alexandria, VA 22313-1450

Sir:

In accordance with 37 C.F.R. 1.98 copies of the following patents and/or publications are submitted herewith:

U.S. Patent No. 266,632 dated October 31, 1882 to McCormick;

U.S. Patent No. 268,632 dated December 5, 1882 to Danforth;

U.S. Patent No. 1,756,670 dated April 29, 1930 to Treat;

U.S. Patent No. 2,246,495 dated June 1941 to Alessi et al.;

U.S. Patent No. 3,851,359 dated December 1974 to Wilson;

U.S. Patent No. 4,044,429 dated August 1977 to Wagner;

U.S. Patent No. 4,346,869 dated August 1982 to MacNeill;

U.S. Patent No. 4,390,019 dated June 1983 to LeVeen et al.;

U.S. Patent No. 4,430,997 dated February 1984 to DiGiovanni et al.;

U.S. Patent No. 4,835,824 dated June 1989 to Durham et al;

U.S. Patent No. 4,988,355 dated January 1991 to Leveen et al.;

U.S. Patent No. 5,022,126 dated June 1991 to Davis;  
U.S. Patent No. 5,049,153 dated September, 1991 to Nakao et al.;  
U.S. Patent No. 5,099,827 dated March 1992 to Melzer et al.;  
U.S. Patent No. 5,156,609 dated October 20, 1992 to Nakao et al.;  
U.S. Patent No. 5,159,730 dated November 3, 1992 to Radvin;  
U.S. Patent No. 5,174,276 dated December 29, 1992 to Crockard;  
U.S. Patent No. 5,222,961 dated June 29, 1993 to Nakao et al.;  
U.S. Patent No. 5,269,804 dated December 1993 to Bales et al.;  
U.S. Patent No. 5,271,543 dated December 21, 1993 to Grant et al.;  
U.S. Patent No. 5,312,434 dated May, 1994 to Crainich;  
U.S. Patent No. 5,354,306 dated October 11, 1994 to Garvey, III et al.;  
U.S. Patent No. 5,354,312 dated October, 1994 to Brinkerhoff et al.;  
U.S. Patent No. 5,361,463 dated November, 1994 to Revis;  
U.S. Patent No. 5,366,459 dated November 22, 1994 to Yoon;  
U.S. Patent No. 5,383,880 dated January, 1995 to Hooven;  
U.S. Patent No. 5,389,104 dated February 14, 1995 to Hahnen et al.;  
U.S. Patent No. 5,395,369 dated March 7, 1995 to McBrayer et al.;  
U.S. Patent No. 5,395,030 dated March 7, 1995 to Kuramoto et al.;  
U.S. Patent No. 5,425,737 dated June 1995 to Burbank et al.;  
U.S. Patent No. 5,425,740 dated June 20, 1995 to Hutchinson Jr.;  
U.S. Patent No. 5,439,479 dated August 8, 1995 to Shichman et al.;  
U.S. Patent No. 5,441,509 dated August 15, 1995 to Vidal et al.;  
U.S. Patent No. 5,464,416 dated November 7, 1995 to Steckel;  
U.S. Patent No. 5,474,570 dated December, 1995 to Kockerling et al;  
U.S. Patent No. 5,487,746 dated January 30, 1996 to Yu et al.;

U.S. Patent No. 5,575,802 dated November, 1996 to McQuilkin et al.;

U.S. Patent No. 5,632,753 dated May 27, 1997 to Loeser;

U.S. Patent No. 5,657,519 dated August 1997 to Smith;

U.S. Patent No. 5,707,392 dated January 1998 to Kortenbach;

U.S. Patent No. 5,716,374 dated February, 1998 to Francese et al.;

U.S. Patent No. 5,769,857 dated June 1998 to Reztzov et al.;

U.S. Patent No. 5,775,345 dated July 7, 1998 to Chou;

U.S. Patent No. 5,779,718 dated July 14, 1998 to Green et al.;

U.S. Patent No. 5,792,150 dated August 11, 1998 to Pratt et al.;

U.S. Patent No. 5,800,449 dated September 1, 1998 to Wales;

U.S. Patent No. 5,814,054 dated September 29, 1998 to Kortenbach et al.;

U.S. Patent No. 5,865,724 dated February, 1999 to Palmer et al.;

U.S. Patent No. 5,871,488 dated February, 1999 to Tovey et al.;

U.S. Patent No. 5,891,156 dated April, 1999 to Gessner et al.;

U.S. Patent No. 5,897,562 dated April 27, 1999 to Bolanos et al.;

U.S. Patent No. 5,904,693 dated May 18, 1999 to Dicesare et al.;

U.S. Patent No. 5,937,488 dated August 1999 to Geiger;

U.S. Patent No. 6,001,110 dated December 14, 1999 to Adams;

U.S. Patent No. 6,013,095 dated January, 2000 to Ouchi;

U.S. Patent No. 6,129,683 dated October, 2000 to Sutton et al.;

U.S. Patent No. 6,162,233 dated December 2000 to Williamson et al.;

U.S. Patent No. 6,238,414 dated May, 2001 to Griffiths;

U.S. Patent No. 6,269,819 dated August, 2001 to Oz et al.;

U.S. Patent No. 6,387,041 dated May, 2002 to Harai et al.;

U.S. Patent No. 6,425,900 dated July, 2002 to Knodel et al.;

U.S. Patent No. 6,569,085 dated May 27, 2003 to Kortenbach et al.;

U.S. Patent No. 6,560,488 dated May, 2003 to Crawford;

U.S. Patent No. 6,578,759 dated June, 2003 to Ortiz;

U.S. Patent No. 6,716,226 dated April 6, 2004 to Sixto, Jr. et al.;

U.S. Patent No. 6,824,548 dated November, 2004 to Smith et al.;

U.S. Patent No. 6,843,794 dated January 18, 2005 to Sixto, Jr. et al.;

U.S. Patent No. 6,945,979 dated September 20, 2005 to Kortenbach et al.;

U.S. Patent No. 7,090,685 dated August 15, 2006 to Kortenbach et al.;

U.S. Patent No. 7,070,602 dated July 4, 2006 to Smith et al.;

British Patent Application No. 2 054 730 A, issued February 18, 1981 to Mericle;

EP 0 748 614 A dated December 18, 1996 to EIS Instruments corresponding to U.S. 5,715,604;

US 2002/0082621 dated June 2002 to Schurr, et al.;

US 2002/0068935 dated June 2002 to Kortenbach et al.;

US 2002/0104199 dated August 2002 to Chen;

US 2002/198549 A1 dated December 26, 2002 to Sixto, Jr. et al.;

US 2002/198537 A1 dated December 26, 2002 to Sixto, Jr. et al.;

US 2003/0014065 dated January 2003 to Osterlind

"What Endoscopic Accessories Do We Really Need?", C. Paul Swain, Emerging Technologies in Gastrointestinal Endoscopy, Gastrointest. Endosc., Vol. 7, No. 2, pp. 313-330 (April 1997)

Kosmahl, Edmund; University of Scranton – Department of Physical Therapy;  
<http://academic/uofs.edu/faculty/kosmahle1/courses/pt245/levbicep.htm>; 2000; pages 1 and 1-3.

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3/23/2007 9:29 AM FROM: Gregory Mayback P.A. Gregory Mayback P.A. TO: +1 (571) 273-8300 PAGE: 007 OF 064

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Those prior art references that were previously submitted to the U.S. Patent and Trademark Office in any of the divisional application Serial Nos. 10/010,247 dated December 6, 2001; 10/010,246 dated December 6, 2001; and 10/151,529 dated May 20, 2002, are not submitted herewith in paper copies.

The fee required in the amount of \$180.00 is also enclosed.

Respectfully submitted,

  
\_\_\_\_\_  
For Applicants

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Reg. No. 40,719

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Fax: 954.704.1588

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SHEET 1 OF 1

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FORM PTO-1449 (SUBSTITUTE)				Attorney Docket No.: 064A		Appl. No. 10/010,244	
U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE				Applicant Robert Sixto, Jr. et al.			
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (37 CFR 1.98(b))				Filing Date December 6, 2001		Group Art Unit 3731	
EXAMINER INITIALS		PATENT NO.	DATE	PATENTEE	CLASS	SUB CLASS	FILING DATE
	A	266,632	10/1882	McCormick			
	B	268,832	12/1882	Danforth			
	C	1,756,670	4/29/30	Treat			
	D	2,246,495	6/41	Alessi et al.			
	E	3,851,359	12/74	Wilson			
	F	4,044,429	8/77	Wagner			
	G	4,346,869	8/82	MacNeill			
	H	4,390,019	6/83	LeVeen et al.			
	I	4,430,997	2/84	DiGiovanni et al.			
	J	4,835,824	6/89	Durham, et al.			
FOREIGN PATENT DOCUMENT							
		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUB CLASS	TRANSL YES   NO
	K	2 054 730 A	2/18/81	Great Britain			
	L	EP 0 748 614 A	12/18/96	EP			
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)							
		US 2002/0082621 dated June 2002 to Schurr, et al.					
EXAMINER				DATE CONSIDERED			
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

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EXAMINER INITIALS		PATENT NO.	DATE	PATENTEE	CLASS	SUB CLASS	FILING DATE
	A	4,988,355	1/91	Leveen et al.			
	B	5,022,126	6/91	Davis			
	C	5,049,153	9/91	Nakao et al.			
	D	5,099,827	3/92	Melzer et al.			
	E	5,156,609	10/92	Nakao et al.			
	F	5,159,730	11/92	Radvin			
	G	5,174,276	12/29/92	Crockard			
	H	5,222,961	6/29/93	Nakao et al.			
	I	5,271,543	12/21/93	Grant et al.			
	J	5,354,306	10/11/94	Garvey, III et al.			
	K	5,366,459	11/22/94	Yoon			
FOREIGN PATENT DOCUMENT							
		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUB CLASS	TRANSL. YES   NO
	L						
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)							
		US 2002/0068935 dated June 2002 to Koretenbach et al.					
		US 2002/0104199 dated August 2002 to Chen					
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EXAMINER INITIALS	PATENT NO.	DATE	PATENTEE	CLASS	SUB CLASS	FILING DATE
	A	5,269,804	12/93	Bales et al.		
	B	5,312,434	5/94	Crainich		
	C	5,354,312	10/94	Brinkerhoff et al.		
	D	5,361,463	11/94	Revis		
	E	5,383,880	1/95	Hooven		
	F	5,389,104	2/95	Hahnen et al.		
	G	5,395,369	3/7/95	McBrayer et al.		
	H	5,395,030	3/7/95	Kuramoto et al.		
	I	5,425,740	6/20/95	Hutchinson Jr.		
	J	5,439,479	8/8/95	Shichman et al.		
	K	5,441,509	8/15/95	Vidal et al.		

  

<b>FOREIGN PATENT DOCUMENT</b>						
DOCUMENT NO.	DATE	COUNTRY	CLASS	SUB CLASS	TRANSL. YES   NO	

  

<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)</b>	
	US 2002/198549 A1 dated December 26, 2002 to Sixto, Jr. et al.
	US 2002/198537 A1 dated December 26, 2002 to Sixto, Jr. et al.
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	B	5,464,416	11/7/95	Steckel			
	C	5,474,570	12/95	Kockerling et al.			
	D	5,487,746	1/30/96	Yu et al.			
	E	5,575,802	11/96	McQuilkin et al.			

  

FOREIGN PATENT DOCUMENT							
		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUB CLASS	TRANSL. YES   NO
	J						

  

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	US 2003/0014065 dated January 2003 to Osterlind
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	A	5,632,753	5/27/97	Loeser			
	B	5,657,519	8/97	Smith			
	C	5,707,392	1/98	Kortenbach			
	D	5,716,374	2/98	Francese et al.			
	E	5,769,857	6/98	Reztov et al.			
	F	5,775,345	7/98	Chou			
	G	5,779,718	7/14/98	Green et al.			
	H	5,792,150	8/11/98	Pratt et al.			
<b>FOREIGN PATENT DOCUMENT</b>							
		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUB CLASS	TRANSL. YES   NO
	I						
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)</b>							
	Kosmahl, Edmund; University of Scanton – Department of Physical Therapy; <a href="http://academic/uofs.edu/faculty/kosmahle1/courses/pt245/levicep.htm">http://academic/uofs.edu/faculty/kosmahle1/courses/pt245/levicep.htm</a> ; 2000; pages 1 and 1-3						
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	A	5,800,449	9/1/98	Wales			
	B	5,814,054	9/29/98	Kortenbach et al.			
	C	5,865,724	2/99	Palmer et al.			
	D	5,871,488	2/99	Tovey et al.			
	E	5,891,156	4/99	Gessner et al.			
	F	5,904,693	5/18/99	Dicesare et al.			
	G	5,937,488	8/99	Geiger			
	H	6,001,110	12/14/99	Adams			
	I	6,013,095	1/00	Ouchi			
	J	6,086,600	7/11/00	Kortenbach			
	K	6,129,683	10/00	Sutton et al.			

  

FOREIGN PATENT DOCUMENT							
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	A	6,162,233	12/00	Williamson et al.		
	B	6,238,414	5/01	Griffiths		
	C	6,269,819	8/01	Oz et al.		
	D	6,387,041	5/02	Harai et al.		
	E	6,425,900	7/02	Knodel et al.		
	F	6,569,085	5/03	Kortenbach et al.		
	G	6,560,488	5/03	Crawford		
	H	6,578,759	6/03	Ortiz		
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	C	7,090,685	8/06	Kortenbach et al.			
	D	7,070,602	7/06	Smith et al.			
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	E						
	F						
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	H						
	I						
<b>FOREIGN PATENT DOCUMENT</b>							
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